Quantum Gravity Phenomenology A systematic approach

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Theoretical physics status

- Fundamental physics = GR + QM.
- Accurate empirical description (where we have access).
- Theoretically inconsistent \Rightarrow new theory (QG).
- Towards QG: top down vs. bottom up.
- No clues on the nature of QG!



(Idealized) phenomenologists' workflow



Often, steps 2 and 3 not considered.

Select a principle



GR principles

- Equivalence principle(s).
- Diffeomorphism invariance.
- Local Lorentz invariance.

- Einstein-Hilbert action.
- Torsion-free.
- 4 dims.



- These principles are not independent.
- In addition, we have the principles of quantum mechanics and the SM.

Lorentz invariance

- As an example, we focus on local Lorentz invariance.
- Lorentz invariance = all local inertial frames are equivalent.
- Inertial \leftrightarrow free particles (w.r.t. known interactions).
- No preferred (nondynamical) spacetime directions.
- At the level of the action: inv. under local SO(1,3) "rotations" (tetrads).
- Motivation:
 - LI is fundamental for both GR and QFT.
 - LV includes CPT violation¹.
 - Motivated by spacetime discreteness.
 - Accommodated by most QG candidates (e.g., ST, LQG).
 - Possible discovery of new interactions.
 - Clear phenomenology: perform the same experiment in different frames.

¹Greenberg PRL 2002

Parametrize **all** violations



Effective field theory

- EFT is useful when the fundamental d.o.f. are unknown.
- Requires knowing the field content and symmetries.
- Field content = standard physics; symmetries = standard physics without LI.
- Result: Most general parametrization! Lagrange density¹

$$\mathcal{L} = \mathcal{L}_{\rm GR} + \mathcal{L}_{\rm SM} + \mathcal{L}_{\rm LV}.$$

where \mathcal{L}_{LV} contains *all* possible LV additions to SM + GR.

- Naive expectation: $\mathcal{L}_{\mathrm{LV}}$ is suppressed by $E_{\mathrm{EW}}/E_{\mathrm{P}} \sim 10^{-17}.$
- Terms of every dimensionality (higher dimensions more suppressed).

¹ "Standard Model Extension": Colladay+Kostelecký PRD 1997; PRD 1998; Kostelecký PRD 2004;...

Example: Free Dirac spinor minimal sector in flat spacetime

• Minimal = operators of renormalizable dimension:

$$\mathcal{L} = \frac{i}{2} \bar{\psi} \Gamma^{\mu} \partial_{\mu} \psi - \frac{i}{2} (\partial_{\mu} \bar{\psi}) \Gamma^{\mu} \psi - \bar{\psi} M \psi,$$

$$\Gamma^{\mu} = \gamma^{\mu} - \eta^{\mu\nu} c_{\rho\nu} \gamma^{\rho} - \eta^{\mu\nu} d_{\rho\nu} \gamma_{5} \gamma^{\rho} - \eta^{\mu\nu} e_{\nu}$$

$$-i \eta^{\mu\nu} f_{\nu} \gamma_{5} - \frac{1}{2} \eta^{\mu\nu} g_{\rho\sigma\nu} \sigma^{\rho\sigma},$$

$$M = m + i m_{5} \gamma_{5} + a_{\mu} \gamma^{\mu} + b_{\mu} \gamma_{5} \gamma^{\mu} + \frac{1}{2} H_{\mu\nu} \sigma^{\mu\nu}.$$

- Γ^{μ} and M are the most general matrices (*e.g.*, m_5).
- SME coefficients: $a_{\mu}, b_{\mu}, c_{\mu\nu}, d_{\mu\nu}, e_{\mu}, f_{\mu}, g_{\mu\nu\rho}, H_{\mu\nu}$.

Phenomenology



Experiments and bounds

Experiments (partial list)

- Accelerator/collider.
- Astrophysical observations.
- Birefringence/dispersion.
- Clock-comparison.
- CMB polarization.
- Laboratory gravity tests.
- Matter interferometry.
- Neutrino oscillations.
- Particle *vs*. antiparticle.
- Resonant cavities and lasers.
- Sidereal/annual variations.
- Spin-polarized matter.

No evidence of LV \Rightarrow bounds:

"Data Tables for Lorentz and CPT Violation" Kostelecký+Russell RMP (2011), ('17 version: arXiv:0801.0287v10)

- > 150 experimental results.
- Best bounds: matter $\sim 10^{-34}~{\rm GeV},$ photons $\sim 10^{-43}~{\rm GeV}$

Gravity SME sector

- Gravity is coupled with SME coefficients (not matter).
- "Minimal" subsector:

$$\mathcal{L}_{\text{LV}} = \sqrt{-g} k^{abcd} R_{abcd}$$

= $\sqrt{-g} \left[-uR + s^{ab}R_{ab} + t^{abcd} W_{abcd} \right]$

- Decade long puzzle¹: "the *t*-puzzle."
- Recently² found that t^{abcd} is indeed physical.
- Produces cosm. anisotropies during inflation (tensor modes).
- CMB data (BB angular power spectrum): t^{0i0j} < 10⁻⁴³.
- 29 orders of mag. improvement w.r.t. best bounds on *s*^{ab}!

¹Kostelecký+Bailey PRD 2006 ²Bonder+León PRD 2017



Self-consistency

- Are there theoretical restrictions to rule out LV terms?
- In flat spacetime, few interesting tests.
 - Field redefinitions: Only some linear combinations of the coefficient's components are observable.
- Strong evidence that spacetime is not flat.
- Curved spacetime tests:
 - Field redefinitions.
 - Diffeomorphism invariance.
 - Dirac algorithm and Cauchy problem.
 - Gravitational d.o.f.
 - Spacetime boundaries.

Field redefinitions

- $\psi \to e^{i a_{\mu} x^{\mu}} \psi$ shows that $a_{\mu} \bar{\psi} \gamma^{\mu} \psi$ is unphysical.
- In flat spacetime, one-to-one correspondence between coordinates and vectors.
- This cannot be done in curved spacetime.
- Less field redefinitions \Rightarrow access more coefficients¹.
- No need for curvature, only nonminkowskian coordinates².
- The metric can be redefined \Rightarrow alternative constraints³.

¹Kostelecký+Tasson PRD 2011
 ²Bonder PRD 2013
 ³Bonder PRD 2015

Diffeomorphism invariance

- Nondynamical fields break (active) diffeomorphism invariance.
- Thus, $\nabla_a T^{ab} \neq 0$, which goes against the Bianchi identities!
- Position: LV must be spontaneously broken¹.



Dirac algorithm and Cauchy problem

- Dirac algorithm: Is there a Hamilton density for which the evolution respects the constraints?
- Cauchy problem:
 - Is the evolution uniquely determined by proper initial data?
 - Is the evolution continuous under changes of initial data.
 - Are the effects of modifying the initial data in agreement with spacetime causal structure?



 These conditions are difficult to verify without specifying the coefficients dynamics.

• Focus on a concrete model¹:

$$\mathcal{L} = \frac{1}{2} D_{\mu} \phi D^{\mu} \phi^* - \frac{m^2}{2} \phi \phi^* - \frac{1}{4} B_{\mu\nu} B^{\mu\nu} - \frac{\kappa}{4} (B_{\mu} B^{\mu} - b^2)^2$$

- Flat spacetime, complex scalar field φ (matter), real vector field B^μ.
- $B_{\mu\nu} = \partial_{\mu}B_{\nu} \partial_{\nu}B_{\mu}$ and $D_{\mu}\phi = \partial_{\mu}\phi ieB_{\mu}\phi$ $\Rightarrow \mathcal{L}_{LV} = -B^{\mu}J_{\mu}$ and no gauge freedom.
- Generalization of the Mexican hat potential, its VEV is timelike.
- e, κ , and b are real positive constants.
- Canonical momenta:

$$\pi^{0} = \frac{\delta \mathcal{L}}{\delta \partial_{0} B_{0}} = 0, \quad \pi^{i} = \frac{\delta \mathcal{L}}{\delta \partial_{0} B_{i}} = B^{i0},$$
$$\rho = \frac{\delta \mathcal{L}}{\delta \partial_{0} \phi} = \frac{1}{2} (\partial_{0} \phi^{*} + i e B_{0} \phi^{*}) = (p^{*})^{*}.$$

¹Bonder+Escobar PRD 2016

$$\mathcal{L} = \frac{1}{2} D_{\mu} \phi D^{\mu} \phi^* - \frac{m^2}{2} \phi \phi^* - \frac{1}{4} B_{\mu\nu} B^{\mu\nu} - \frac{\kappa}{4} (B_{\mu} B^{\mu} - b^2)^2$$

• Two second-class constraints:

$$\begin{aligned} \chi_1 &= \pi^0, \\ \chi_2 &= \partial_i \pi^i - \kappa \mathcal{B}_0 (\mathcal{B}_\mu \mathcal{B}^\mu - b^2) + 2e \mathrm{Im}(\phi p). \end{aligned}$$

- The Dirac algorithm exhausted without inconsistencies.
- E.o.m. not of the form where one can use the "initial value" theorems.
- D.o.f.: B_i, πⁱ, φ, and p (only this initial data needed)
 ⇒ the initial B₀ obtained through the constraints.
- No unique initial $B_0 \Rightarrow$ ill-posed Cauchy problem!

• Example (homogeneous): initially $B_i = 0$, $\pi^i = 0$, $\phi = 0$, and $p = a \in \mathbb{C}$.

$$\chi_2 = \begin{bmatrix} B_0(0)^2 - b^2 \end{bmatrix} B_0(0) = 0 \quad \Rightarrow \quad B_0(0) = b, 0, -b.$$

• Numerically $(\kappa = b/\text{MeV}^2 = e = m/\text{MeV} = \text{Re}(a)/\text{MeV} = \text{Im}(a)/\text{MeV} = 1)$:



where the blue (yellow-dotted) line is for $\operatorname{Re}\phi$ (Im ϕ).

• ϕ represents matter \Rightarrow physical consequences!

- Easy fix: change the kinetic term for B_μ... but the Cauchy problem for gravity can be damaged.
- Alternatives:
 - "Only one measurement" per spatial point (unlike a fundamental constant).
 - Consider B₀ as a standard d.o.f. (*i.e.*, naive application of Lagrange's formalism ⇒ inequivalent quantizations?, discrete number of d.o.f.).
 - Construct a criteria to choose a special *B*₀ (*e.g.*, initial energy, but there are degeneracies).
- Longterm goal: study if we can rule out spontaneous LV.



Gravitational degrees of freedom

- Palatini vs. conventional
 - For the minimal gravitational LV, the standard and Palatini approaches are equivalent¹.
 - More general field redefinitions, no practical applications!
 - For nonminimal LV, these approaches are inequivalent.
- Boundaries
 - In the phenomenological applications of LV, spacetime is conformally flat, which has boundaries.
 - For the minimal gravitational action, add²

$$\Delta S_{\rm LV} = \pm 2 \int_{\rm boundary} d^3 x \sqrt{|h|} n_{\mu} n_{\sigma} k^{\mu\nu\rho\sigma} K_{\nu\rho}.$$

• Tricky to find ΔS_{LV} for the nonminimal part!

¹Bonder PRD 2015 ²Bonder PRD 2015

Conclusions

- Looking for empirical clues of new physics could play an important role towards QG.
- This must be done systematically: with generality and checking the self-consistency.
- This type of program has been applied mainly for LV.
- EFT provides the general parametrization.
- Such a parametrization allows us to test LV experimentally and theoretically.
- New interesting phenomenological connections with cosmological observations.
- Several theoretical restrictions, mainly in curved spacetime.

Paradigm change



Gibbons-Hawking term

• In the minimal gravitational LV action-variation:

$$\delta S \supset \frac{1}{2\kappa} \int_{M} d^{4}x \sqrt{-g} (g^{ca} \delta^{b}_{d} + k^{abc}_{d}) \delta R_{abc}^{d}$$

$$= \frac{1}{\kappa} \int_{M} d^{4}x \sqrt{-g} (\nabla_{c} \nabla_{d} k^{cabd}) \delta g_{ab}$$

$$+ \frac{1}{\kappa} \int_{\partial M} d^{3}x \sqrt{|h|} n_{c} (2g^{a[c} g^{b]d} + k^{cabd}) \nabla_{d} \delta g_{ab}$$

• In
$$\partial M$$
: $\delta g_{ab} = 0$ (and $\delta h_{ab} = \delta n^a = 0$) but $n^c \nabla_c \delta g_{ab} \neq 0$.
• $K_{ab} = h^c_a \nabla_c n_b \Rightarrow \delta K_{ab} = -h^c_a n_d \delta C_{cb}{}^d = \frac{1}{2} h^c_a n^d \nabla_d \delta g_{bc} \Rightarrow$

$$n_{c}(2g^{a[c}g^{b]d}+k^{cabd})\nabla_{d}\delta g_{ab}=-\delta[\left(2h^{ab}\pm 2n_{c}n_{d}k^{cabd}\right)K_{ab}],$$

• To cancel the problematic term:

$$\Delta S = \frac{1}{\kappa} \int_{\partial M} d^3 x \sqrt{|h|} \left(2h^{bc} \pm 2n_a n_d k^{abcd} \right) K_{bc}.$$

Variation under diffeomorphisms

- Nongravitational LV: $S = \int d^4x \sqrt{-g}R + 2\kappa S_{\rm m}(g,\phi;k)$.
- Under a diffeo. assoc. with any ξ^a (of compact sup.):

$$\begin{split} \delta S &= \int d^4 x \left(\frac{\delta \sqrt{-gR}}{\delta g^{ab}} \delta g^{ab} + 2\kappa \frac{\delta \mathcal{L}_{\rm m}}{\delta g^{ab}} \delta g^{ab} + 2\kappa \frac{\delta \mathcal{L}_{\rm EH}}{\delta \phi} \delta \phi \right) \\ &= \int d^4 x \left(-G_{ab} + \kappa T_{ab} \right) \left(-2\nabla^{(a} \xi^{b)} \right) \\ &= 2 \int d^4 x \left(-\nabla^a G_{ab} + \kappa \nabla^a T_{ab} \right) \xi^b \\ &= 2\kappa \int d^4 x \xi^b \nabla^a T_{ab}, \end{split}$$

where use that the fields ϕ satisfy their e.o.m., $\delta g^{ab} = \mathcal{L}_{\xi} g^{ab} = -2\nabla^{(a}\xi^{b)}$, and the Bianchi identity. • Hence, $\delta S = 0$ if and only if $\nabla_a T^{ab} = 0$.

Dirac method

• Dirac's algorithm: method to construct the Hamiltonian.



• May reveal inconsistencies (example: $L(q, \dot{q}) = q$).

Cauchy theorems

• Cauchy-Kowalewski requires analytic initial data, which damages causality.

Theorem

 (M, g_{ab}) globally hyperbolic, ∇_a any derivative operator. The following system of n linear equations for n unknown functions Ψ_1, \ldots, Ψ_n

$$g^{ab} \nabla_a \nabla_b \Psi_i + A^a_{ij} \nabla_a \Psi_j + B_{ij} \Psi_j + C_i = 0,$$

where A_{ij}^a , B_{ij} , C_i are smooth vector/scalar fields, has a well-posed Cauchy problem.

- There are more general theorems¹.
- Most relevant: form of the second-derivative term.

¹Wald's GR book